

Constraint Logic Programming

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Project-Team CONTRAINTES

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Part I: CLP - Introduction and Logical Background

- 1 The Constraint Programming paradigm
- 2 Examples and Applications
- 3 First Order Logic
- 4 Models
- 5 Logical Theories

Axiomatic and Complete Theories

A theory \mathcal{T} is *axiomatic* if the set of non logical axioms is recursive (i.e., membership can be decided by an algorithm)

Proposition 1

In an axiomatic theory \mathcal{T} , valid formulas, $\mathcal{T} \models \phi$, are recursively enumerable

(feasibility of the **Logic Programming paradigm...**)

\mathcal{T} is *complete* if for every closed ϕ , either $\mathcal{T} \vdash \phi$ or $\mathcal{T} \vdash \neg\phi$

In a **complete axiomatic theory**, we can decide whether an arbitrary formula is satisfiable or not (**Constraint Satisfaction paradigm...**)

Compactness theorem

Theorem 2

Corollary 3

\mathcal{T} is consistent iff every finite part of \mathcal{T} is consistent.

\mathcal{T} is inconsistent iff $\mathcal{T} \vdash \text{false}$,
iff for some finite part \mathcal{T}' of \mathcal{T} , $\mathcal{T}' \vdash \text{false}$,
iff some finite part of \mathcal{T} is inconsistent



Compactness theorem

Theorem 2

$\mathcal{T} \models \phi$ iff $\mathcal{T}' \models \phi$ for some finite part \mathcal{T}' of \mathcal{T}

By Gödel's completeness theorem, $\mathcal{T} \models \phi$ iff $\mathcal{T} \vdash \phi$.

As the proofs are finite, they use only a finite part of non logical axioms \mathcal{T} .

Therefore $\mathcal{T} \models \phi$ iff $\mathcal{T}' \models \phi$ for some finite part \mathcal{T}' of \mathcal{T} □

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\mathcal{T} is inconsistent iff $\mathcal{T} \vdash \text{false}$,

iff for some finite part \mathcal{T}' of \mathcal{T} , $\mathcal{T}' \vdash \text{false}$,

iff some finite part of \mathcal{T} is inconsistent □

Part II: Constraint Logic Programs

6 Constraint Languages

7 $\text{CLP}(\mathcal{X})$

8 $\text{CLP}(\mathcal{H})$

9 $\text{CLP}(\mathcal{R}, \mathcal{FD}, \mathcal{B})$

Part III

CLP - Operational and Fixpoint Semantics

Part III: CLP - Operational and Fixpoint Semantics

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Operational semantics: CSLD Resolution

A $\text{CLP}(\mathcal{X})$ program P is a set of clauses representing inductive definitions of constraints. Taking the solver as a black-box a CSLD resolution step is:

A **successful derivation** is a derivation of the form

$$G \longrightarrow G_1 \longrightarrow G_2 \longrightarrow \dots \longrightarrow c|\square$$

c is called a

for G

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$$(c|\alpha, p(s_1, s_2), \alpha') \longrightarrow$$

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$$\frac{(p(t_1, t_2) \leftarrow c' | A_1, \dots, A_n) \theta \in P}{(c | \alpha, p(s_1, s_2), \alpha') \longrightarrow}$$

where θ is a renaming substitution of the program clause with new variables

A **successful derivation** is a derivation of the form

$$G \longrightarrow G_1 \longrightarrow G_2 \longrightarrow \dots \longrightarrow c | \square$$

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Operational semantics: CSLD Resolution

A $\text{CLP}(\mathcal{X})$ program P is a set of clauses representing inductive definitions of constraints. Taking the solver as a black-box a CSLD resolution step is:

$$\frac{(\rho(t_1, t_2) \leftarrow c' | A_1, \dots, A_n) \theta \in P \quad \mathcal{X} \models \exists (c \wedge s_1 = t_1 \wedge s_2 = t_2 \wedge c')}{(c | \alpha, \rho(s_1, s_2), \alpha') \longrightarrow (c, s_1 = t_1, s_2 = t_2, c' | \alpha, A_1, \dots, A_n, \alpha')}$$

where θ is a renaming substitution of the program clause with new variables

A **successful derivation** is a derivation of the form

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where θ is a renaming substitution of the program clause with new variables

A **successful derivation** is a derivation of the form

$$G \longrightarrow G_1 \longrightarrow G_2 \longrightarrow \dots \longrightarrow c | \square$$

c is called a **computed answer constraint** for G

\wedge -Compositionality of CSLD-derivations

Lemma 4 (\wedge -compositionality)

c is a computed answer for the goal $(d|A_1, \dots, A_n)$

iff

there exist computed answers c_1, \dots, c_n for the goals $true|A_1, \dots, true|A_n$, such that $c = d \wedge \bigwedge_{i=1}^n c_i$ is satisfiable.

Corollary 5

Independence of the selection strategy

\wedge -Compositionality of CSLD-derivations

Proof.

$(\Leftarrow) d|A_1, \dots, A_n \rightarrow^*$

\wedge -Compositionality of CSLD-derivations

Proof.

$(\Leftarrow) d|A_1, \dots, A_n \rightarrow^* d \wedge c_1|A_2, \dots, A_n \cdots \rightarrow^* d \wedge c_1 \wedge \cdots \wedge c_n|\square.$

\wedge -Compositionality of CSLD-derivations

Proof.

(\Leftarrow) $d|A_1, \dots, A_n \rightarrow^* d \wedge c_1|A_2, \dots, A_n \cdots \rightarrow^* d \wedge c_1 \wedge \cdots \wedge c_n|\square$.

(\Rightarrow) By induction on the length l of the derivation

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Proof.

(\Leftarrow) $d|A_1, \dots, A_n \rightarrow^* d \wedge c_1|A_2, \dots, A_n \cdots \rightarrow^* d \wedge c_1 \wedge \cdots \wedge c_n|\square$.

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If $l = 1$ we have $true|A_1 \rightarrow c_1|\square$

\wedge -Compositionality of CSLD-derivations

Proof.

(\Leftarrow) $d|A_1, \dots, A_n \rightarrow^* d \wedge c_1|A_2, \dots, A_n \dots \rightarrow^* d \wedge c_1 \wedge \dots \wedge c_n|\square$.

(\Rightarrow) By induction on the length l of the derivation

If $l = 1$ we have $true|A_1 \rightarrow c_1|\square$

Otherwise, suppose A_1 is the selected atom, there exists a rule $(A_1 \leftarrow d_1|B_1, \dots, B_k) \in P$ such that

$d|A_1, \dots, A_n \rightarrow d \wedge d_1|B_1, \dots, B_k, A_2, \dots, A_n \rightarrow^* c|\square$

By induction, there exist computed answers $e_1, \dots, e_k, c_2, \dots, c_n$ for the goals $B_1, \dots, B_k, A_2, \dots, A_n$ such that

$c = d \wedge d_1 \wedge \bigwedge_{i=1}^k e_i \wedge \bigwedge_{j=2}^n c_j$. Now let $c_1 = d_1 \wedge \bigwedge_{i=1}^k e_i$, c_1 is a computed answer for $true|A_1$ \square

Operational Semantics of CLP(\mathcal{X}) Programs

Observation of the sets of **projected computed answer constraints**

$$O(P) = \{(\exists X c) \mid A : \text{true} \mid A \longrightarrow^* c \mid \square, \mathcal{X} \models \exists(c), X = V(c) \setminus V(A)\}$$

Program equivalence: $P \equiv P'$ iff $O(P) = O(P')$ iff for every goal G , P and P' have same sets of computed answer constraints

Finer observables:

multisets of computed answer constraints

sets of successful CSLD derivations (equivalence of traces)

More abstract observable:

sets of goals having a success

(theorem proving versus programming point of view)

Operational Semantics of CLP(\mathcal{X}) Programs

Observation of **computed answer constraints**

$$O_{ca}(P) = \{c|A : true|A \longrightarrow^* c|\square, \mathcal{X} \models \exists(c)\}$$

$P \equiv_{ca} P'$ iff for every goal G , P and P' have the same sets of computed answer constraints

Observation of **ground successes**

$$O_{gs}(P) = \{A\rho \in B_{\mathcal{X}} : true|A \longrightarrow^* c|\square, \mathcal{X} \models c\rho\}$$

$P \equiv_{gs} P'$ iff P and P' have the same ground success sets, iff for every goal G , G has a CSLD refutation in P iff G has one in P'

Some definitions

Let (S, \leq) be a partial order Let $X \subset S$ be a subset of S

- An **upper bound** of X is an element $a \in S$ such that $\forall x \in X \ x \leq a$
- The **maximum** element of X , if it exists, is the unique upper bound of X belonging to X
- The **least upper bound** (lub) of X , if it exists, is the minimum of the upper bounds of X
- A **sup-semi-lattice** is a partial order such that every finite part admits a lub
- A **lattice** is a sup-semi-lattice and an inf-semi-lattice
- A **chain** is an increasing sequence $x_1 \leq x_2 \leq \dots$
- A partial order is **complete** if every chain admits a lub
- A function $f: S \rightarrow S$ is **monotonic** if $x \leq y \Rightarrow f(x) \leq f(y)$
- f is **continuous** if $f(\text{lub}(X)) = \text{lub}(f(X))$ for every chain X

Fixpoint theorems

Theorem 6 (Knaster-Tarski)

Let (S, \leq) be a **complete partial order**, and $f: S \rightarrow S$ a continuous operator over S

Then f admits a least fixed point $\text{lfp}(f) = f \uparrow \omega$

Proof.

First,

$$a = f \uparrow \omega.$$

a is a **fixed point** of f

Let e be any fixed point of f .

hence $a \leq e$



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Proof.

First, as f is continuous, f is monotonic, hence $\perp \leq f(\perp) \leq f(f(\perp)) \leq \dots$ forms an **increasing chain**.

Let $a = \text{lub}(\{f^n(\perp) \mid n \in \mathbb{N}\}) = f \uparrow \omega$. By continuity $f(a) = \text{lub}(\{f^{n+1}(\perp) \mid n \in \mathbb{N}\}) = a$, hence a is a **fixed point** of f

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Let e be any fixed point of f . We show that for all integer n , $f^n(\perp) \leq e$, by induction on n .

hence $a \leq e$



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Let $a = \text{lub}(\{f^n(\perp) \mid n \in \mathbb{N}\}) = f \uparrow \omega$. By continuity $f(a) = \text{lub}(\{f^{n+1}(\perp) \mid n \in \mathbb{N}\}) = a$, hence a is a **fixed point** of f

Let e be any fixed point of f . We show that for all integer n , $f^n(\perp) \leq e$, by induction on n . Clearly $\perp \leq e$. Furthermore if $f^n(\perp) \leq e$ then by monotonicity, $f^{n+1}(\perp) \leq f(e) = e$.

Thus $f^n(\perp) \leq e$ for all n , hence $a \leq e$



Least Post-Fixed Point

Theorem 7

Let (S, \leq) be a *complete sup-semi-lattice*. Let f be a continuous operator over S . Then f admits a least post-fixed point (i.e., an element e satisfying $f(e) \leq e$) which is equal to $\text{lfp}(f)$.

Proof.

Least Post-Fixed Point

Theorem 7

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Proof.

Let $g(x) = \text{lub}(x, f(x))$.

Least Post-Fixed Point

Theorem 7

Let (S, \leq) be a *complete sup-semi-lattice*. Let f be a *continuous operator* over S . Then f admits a *least post-fixed point* (i.e., an element e satisfying $f(e) \leq e$) which is equal to $lfp(f)$.

Proof.

Let $g(x) = lub(x, f(x))$.

An element e is a post fixed point of f , i.e., $f(e) \leq e$, iff e is a fixed point of g , $g(e) = e$.

Now g is continuous, hence $lfp(g)$ is the least fixed point of g and the least post-fixed point of f .

Furthermore, $lfp(g) = lub\{f^n(\perp)\} = lfp(f)$. □

Fixpoint semantics of O_{gs}

Consider the **complete lattice of \mathcal{X} -interpretations** $(2^{\mathcal{B}_\mathcal{X}}, \subset)$
The bottom element is the empty \mathcal{X} -interpretation (all atoms false)
The top element is $\mathcal{B}_\mathcal{X}$ (all atoms true)

A **chain** X is an increasing sequence $I_1 \subset I_2 \subset \dots$

$$lub(X) = \bigcup_{i \geq 1} I_i$$

Let us define the semantics $O_{gs}(P)$ as the least solution of a fixpoint equation over $2^{\mathcal{B}_\mathcal{X}}$: $I = T(I)$

$T_P^{\mathcal{X}}$ immediate consequence operator

$T_P^{\mathcal{X}} : 2^{\mathcal{B}_X} \rightarrow 2^{\mathcal{B}_X}$ is defined by:

$T_P^{\mathcal{X}}(I) = \{A\rho \in \mathcal{B}_X \mid \text{there exists a renamed clause in normal form } (A \leftarrow c \mid A_1, \dots, A_n) \in P, \text{ and a valuation } \rho \text{ s.t. } \mathcal{X} \models c\rho \text{ and } \{A_1\rho, \dots, A_n\rho\} \subset I\}$

```
append(A, B, C) :- A=[], B=C.
```

```
append(A, B, C) :- A=[X|L], C=[X|R], append(L, B, R).
```

Example 8

$T_P^{\mathcal{H}}(\emptyset) =$

$T_P^{\mathcal{X}}$ immediate consequence operator

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`append(A, B, C) :- A=[], B=C.`

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Example 8

$$\begin{aligned} T_P^{\mathcal{H}}(\emptyset) &= \{\text{append}([], B, B) \mid B \in \mathcal{H}\} \\ T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(\emptyset)) &= \end{aligned}$$

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$$\begin{aligned} T_P^{\mathcal{H}}(\emptyset) &= \{\text{append}([], B, B) \mid B \in \mathcal{H}\} \\ T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(\emptyset)) &= T_P^{\mathcal{H}}(\emptyset) \cup \{\text{append}([X], B, [X|B]) \mid X, B \in \mathcal{H}\} \\ T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(\emptyset))) &= \end{aligned}$$

$T_P^{\mathcal{X}}$ immediate consequence operator

$T_P^{\mathcal{X}} : 2^{\mathcal{B}_X} \rightarrow 2^{\mathcal{B}_X}$ is defined by:

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$$\begin{aligned} T_P^{\mathcal{H}}(\emptyset) &= \{\text{append}([], B, B) \mid B \in \mathcal{H}\} \\ T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(\emptyset)) &= T_P^{\mathcal{H}}(\emptyset) \cup \{\text{append}([X], B, [X|B]) \mid X, B \in \mathcal{H}\} \\ T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(\emptyset))) &= T_P^{\mathcal{H}}(T_P^{\mathcal{H}}(\emptyset)) \cup \\ &\quad \{\text{append}([X, Y], B, [X, Y|B]) \mid X, Y, B \in \mathcal{H}\} \end{aligned}$$

Continuity of T_P^χ operator

Proposition 9

T_P^χ is a *continuous* operator on the complete lattice of χ -interpretations

Proof.



Corollary 10

T_P^χ admits a *least (post) fixed point* $T_P^\chi \uparrow \omega$

Continuity of $T_P^{\mathcal{X}}$ operator

Proposition 9

$T_P^{\mathcal{X}}$ is a *continuous* operator on the complete lattice of \mathcal{X} -interpretations

Proof.

Let X be a chain of \mathcal{X} -interpretations. $A_\rho \in T_P^{\mathcal{X}}(\text{lub}(X))$,
iff $(A \leftarrow c|A_1, \dots, A_n) \in P$, $\mathcal{X} \models c\rho$ and $\{A_{1\rho}, \dots, A_{n\rho}\} \subset \text{lub}(X)$,

iff $A_\rho \in \text{lub}(T_P^{\mathcal{X}}(X))$. □

Corollary 10

$T_P^{\mathcal{X}}$ admits a *least (post) fixed point* $T_P^{\mathcal{X}} \uparrow \omega$

Continuity of $T_P^{\mathcal{X}}$ operator

Proposition 9

$T_P^{\mathcal{X}}$ is a *continuous* operator on the complete lattice of \mathcal{X} -interpretations

Proof.

Let X be a chain of \mathcal{X} -interpretations. $A_\rho \in T_P^{\mathcal{X}}(\text{lub}(X))$,
iff $(A \leftarrow c | A_1, \dots, A_n) \in P$, $\mathcal{X} \models c\rho$ and $\{A_{1\rho}, \dots, A_{n\rho}\} \subset \text{lub}(X)$,
iff $(A \leftarrow c | A_1, \dots, A_n) \in P$, $\mathcal{X} \models c\rho$ and $\{A_{1\rho}, \dots, A_{n\rho}\} \subset I$,
for some $I \in X$ (as X is a chain)
iff $A_\rho \in T_P^{\mathcal{X}}(I)$ for some $I \in X$, iff $A_\rho \in \text{lub}(T_P^{\mathcal{X}}(X))$. □

Corollary 10

$T_P^{\mathcal{X}}$ admits a *least (post) fixed point* $T_P^{\mathcal{X}} \uparrow \omega$

Full abstraction

Theorem 11 ([JL87popl])

$$T_P^x \uparrow \omega = O_{gs}(P)$$

$T_P^x \uparrow \omega \subset O_{gs}(P)$ is proved by induction on the powers n of T_P^x .

Full abstraction

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 $n = 0$, i.e., \emptyset , is trivial.

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 $n = 0$, i.e., \emptyset , is trivial. Let $A_\rho \in T_P^X \uparrow n$,

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Theorem 11 ([JL87popl])

$$T_P^{\mathcal{X}} \uparrow \omega = O_{gs}(P)$$

$T_P^{\mathcal{X}} \uparrow \omega \subset O_{gs}(P)$ is proved by induction on the powers n of $T_P^{\mathcal{X}}$. $n = 0$, i.e., \emptyset , is trivial. Let $A_\rho \in T_P^{\mathcal{X}} \uparrow n$, there exists a rule $(A \leftarrow c | A_1, \dots, A_n) \in P$, s.t. $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow n - 1$ and $\mathcal{X} \models c\rho$.

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$O_{gs}(P) \subset T_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations.

Full abstraction

Theorem 11 ([JL87popl])

$$T_P^{\mathcal{X}} \uparrow \omega = O_{gs}(P)$$

$T_P^{\mathcal{X}} \uparrow \omega \subset O_{gs}(P)$ is proved by induction on the powers n of $T_P^{\mathcal{X}}$. $n = 0$, i.e., \emptyset , is trivial. Let $A_\rho \in T_P^{\mathcal{X}} \uparrow n$, there exists a rule $(A \leftarrow c | A_1, \dots, A_n) \in P$, s.t. $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow n - 1$ and $\mathcal{X} \models c\rho$. By induction $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$. By definition of O_{gs} and \wedge -compositionality. we get $A_\rho \in O_{gs}(P)$.

$O_{gs}(P) \subset T_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations. Successes with derivation of length 0 are ground facts in $T_P^{\mathcal{X}} \uparrow 1$.

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$T_P^{\mathcal{X}} \uparrow \omega \subset O_{gs}(P)$ is proved by induction on the powers n of $T_P^{\mathcal{X}}$. $n = 0$, i.e., \emptyset , is trivial. Let $A_\rho \in T_P^{\mathcal{X}} \uparrow n$, there exists a rule $(A \leftarrow c | A_1, \dots, A_n) \in P$, s.t. $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow n - 1$ and $\mathcal{X} \models c\rho$. By induction $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$. By definition of O_{gs} and \wedge -compositionality. we get $A_\rho \in O_{gs}(P)$.

$O_{gs}(P) \subset T_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations. Successes with derivation of length 0 are ground facts in $T_P^{\mathcal{X}} \uparrow 1$. Let $A_\rho \in O_{gs}(P)$ with a derivation of length n . By definition of O_{gs} there exists

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$T_P^{\mathcal{X}} \uparrow \omega \subset O_{gs}(P)$ is proved by induction on the powers n of $T_P^{\mathcal{X}}$. $n = 0$, i.e., \emptyset , is trivial. Let $A_\rho \in T_P^{\mathcal{X}} \uparrow n$, there exists a rule $(A \leftarrow c | A_1, \dots, A_n) \in P$, s.t. $\{A_{1\rho}, \dots, A_{n\rho}\} \subset T_P^{\mathcal{X}} \uparrow n - 1$ and $\mathcal{X} \models c\rho$. By induction $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$. By definition of O_{gs} and \wedge -compositionality. we get $A_\rho \in O_{gs}(P)$.

$O_{gs}(P) \subset T_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations. Successes with derivation of length 0 are ground facts in $T_P^{\mathcal{X}} \uparrow 1$. Let $A_\rho \in O_{gs}(P)$ with a derivation of length n . By definition of O_{gs} there exists $(A \leftarrow c | A_1, \dots, A_n) \in P$ s.t. $\{A_{1\rho}, \dots, A_{n\rho}\} \subset O_{gs}(P)$ and $\mathcal{X} \models c\rho$.

Full abstraction

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$T_P^\mathcal{X}$ and \mathcal{X} -models

Proposition 12

I is a \mathcal{X} -model of P iff I is a post-fixed point of $T_P^\mathcal{X}$, $T_P^\mathcal{X}(I) \subset I$

Proof.

I is a \mathcal{X} -model of P ,
iff

$T_P^{\mathcal{X}}$ and \mathcal{X} -models

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I is a \mathcal{X} -model of P iff I is a post-fixed point of $T_P^{\mathcal{X}}$, $T_P^{\mathcal{X}}(I) \subset I$

Proof.

I is a \mathcal{X} -model of P ,
iff for each clause $A \leftarrow c | A_1, \dots, A_n \in P$ and for each \mathcal{X} -valuation ρ , if $\mathcal{X} \models c\rho$ and $\{A_1\rho, \dots, A_n\rho\} \subset I$ then $A\rho \in I$,
iff $T_P^{\mathcal{X}}(I) \subset I$ □

$T_P^{\mathcal{X}}$ and \mathcal{X} -models

Theorem 13 (Least \mathcal{X} -model [JL87popl])

Let P be a constraint logic program on \mathcal{X} . P has a *least \mathcal{X} -model*, denoted by $M_P^{\mathcal{X}}$ satisfying:

$$M_P^{\mathcal{X}} = T_P^{\mathcal{X}} \uparrow \omega$$

Proof.

$T_P^{\mathcal{X}} \uparrow \omega = \text{lfp}(T_P^{\mathcal{X}})$ is also the least post-fixed point of $T_P^{\mathcal{X}}$, thus by Prop. 12, $\text{lfp}(T_P^{\mathcal{X}})$ is the least \mathcal{X} -model of P . □

Fixpoint semantics of O_{ca}

Consider the set of **constrained atoms**

$\mathcal{B}'_{\mathcal{X}} = \{c|A : A \text{ is an atom and } \mathcal{X} \models \exists(c)\}$ modulo renaming

Consider the lattice of constrained interpretations $(2^{\mathcal{B}'_{\mathcal{X}}}, \subseteq)$

For a **constrained interpretation** I , let us define the **closed** \mathcal{X} -interpretation:

$[I]_{\mathcal{X}} = \{A\rho : \text{there exists a valuation } \rho \text{ and } c|A \in I \text{ s.t. } \mathcal{X} \models c\rho\}$

Let us define the semantics $O_{ca}(P)$ as the least solution of a fixpoint equation over $2^{\mathcal{B}'_{\mathcal{X}}}$

Non-ground immediate consequence operator

$S_P^{\mathcal{X}} : 2^{\mathcal{B}'_{\mathcal{X}}} \rightarrow 2^{\mathcal{B}'_{\mathcal{X}}}$ is defined as:

$S_P^{\mathcal{X}}(I) = \{c \mid A \in \mathcal{B}'_{\mathcal{X}} \mid \text{there exists a renamed clause in normal form } (A \leftarrow d \mid A_1, \dots, A_n) \in P, \text{ and constrained atoms } \{c_1 \mid A_1, \dots, c_n \mid A_n\} \subset I, \text{ s.t. } c = d \wedge \bigwedge_{i=1}^n c_i \text{ is } \mathcal{X}\text{-satisfiable}\}$

Proposition 14

For any $\mathcal{B}'_{\mathcal{X}}$ -interpretation I , $[S_P^{\mathcal{X}}(I)]_{\mathcal{X}} = T_P^{\mathcal{X}}([I]_{\mathcal{X}})$

Proof.

$A\rho \in [S_P^{\mathcal{X}}(I)]_{\mathcal{X}}$

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Proof.

$A_{\rho} \in [S_P^{\mathcal{X}}(I)]_{\mathcal{X}}$

iff $(A \leftarrow d \mid A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models c_{\rho}$ and $\{c_1 \mid A_1, \dots, c_n \mid A_n\} \subset I$

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iff $A\rho \in T_P^{\mathcal{X}}([I]_{\mathcal{X}})$



Continuity of S_p^χ operator

Proposition 15

S_p^χ is *continuous*

Proof.

Continuity of $S_P^{\mathcal{X}}$ operator

Proposition 15

$S_P^{\mathcal{X}}$ is *continuous*

Proof.

Let X be a chain of constrained interpretations. $c|A \in S_P^{\mathcal{X}}(\text{lub}(X))$,
iff $(A \leftarrow d|A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models \exists(c)$ and
 $\{c_1|A_1, \dots, c_n|A_n\} \subset \text{lub}(X)$

Continuity of $S_P^{\mathcal{X}}$ operator

Proposition 15

$S_P^{\mathcal{X}}$ is *continuous*

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Let X be a chain of constrained interpretations. $c|A \in S_P^{\mathcal{X}}(\text{lub}(X))$,
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 $\{c_1|A_1, \dots, c_n|A_n\} \subset \text{lub}(X)$
iff $(A \leftarrow d|A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models \exists(c)$ and
 $\{c_1|A_1, \dots, c_n|A_n\} \subset I$, **for some $I \in X$** (as X is a chain)

Continuity of $S_P^{\mathcal{X}}$ operator

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iff $(A \leftarrow d|A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models \exists(c)$ and
 $\{c_1|A_1, \dots, c_n|A_n\} \subset I$, **for some $I \in X$** (as X is a chain)
iff $c|A \in S_P^{\mathcal{X}}(I)$ for some $I \in X$,

Continuity of S_P^X operator

Proposition 15

S_P^X is *continuous*

Proof.

Let X be a chain of constrained interpretations. $c|A \in S_P^X(\text{lub}(X))$,

iff $(A \leftarrow d|A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models \exists(c)$ and

$\{c_1|A_1, \dots, c_n|A_n\} \subset \text{lub}(X)$

iff $(A \leftarrow d|A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models \exists(c)$ and

$\{c_1|A_1, \dots, c_n|A_n\} \subset I$, for some $I \in X$ (as X is a chain)

iff $c|A \in S_P^X(I)$ for some $I \in X$,

iff $c|A \in \text{lub}(S_P^X(X))$



Corollary 16

Continuity of $S_P^{\mathcal{X}}$ operator

Proposition 15

$S_P^{\mathcal{X}}$ is *continuous*

Proof.

Let X be a chain of constrained interpretations. $c|A \in S_P^{\mathcal{X}}(\text{lub}(X))$,

iff $(A \leftarrow d|A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models \exists(c)$ and

$\{c_1|A_1, \dots, c_n|A_n\} \subset \text{lub}(X)$

iff $(A \leftarrow d|A_1, \dots, A_n) \in P$, $c = d \wedge \bigwedge_{i=1}^n c_i$, $\mathcal{X} \models \exists(c)$ and

$\{c_1|A_1, \dots, c_n|A_n\} \subset I$, for some $I \in X$ (as X is a chain)

iff $c|A \in S_P^{\mathcal{X}}(I)$ for some $I \in X$,

iff $c|A \in \text{lub}(S_P^{\mathcal{X}}(X))$ □

Corollary 16

$S_P^{\mathcal{X}}$ admits a *least (post) fixed point* $\text{lfp}(S_P^{\mathcal{X}}) = S_P^{\mathcal{X}} \uparrow \omega$

Example CLP(\mathcal{H})

```
append(A,B,C) :- A=[], B=C.
```

```
append(A,B,C) :- A=[X|L], C=[X|R], append(L,B,R).
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Example 17

$$S_P^{\mathcal{H}} \uparrow 0 = \emptyset$$

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$$\{A = [X|L], C = [X|R], L = [], B = R \mid \text{append}(A, B, C)\}$$

$$= S_P^{\mathcal{H}} \uparrow 1 \cup \{A = [X], C = [X|B] \mid \text{append}(A, B, C)\}$$

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$$S_P^{\mathcal{H}} \uparrow 4 = S_P^{\mathcal{H}} \uparrow 3 \cup$$

$$\{A = [X, Y, Z], C = [X, Y, Z|B] \mid \text{append}(A, B, C)\}$$

$$\dots = \dots$$

Relating $S_P^{\mathcal{X}}$ and $T_P^{\mathcal{X}}$ operators

Theorem 18 ([JL87popl])

For every ordinal α , $T_P^{\mathcal{X}} \uparrow \alpha = [S_P^{\mathcal{X}} \uparrow \alpha]_{\mathcal{X}}$

Proof.

The base case $\alpha = 0$ is trivial. For a successor ordinal, we have

$$\begin{aligned} [S_P^{\mathcal{X}} \uparrow \alpha]_{\mathcal{X}} &= [S_P^{\mathcal{X}}(S_P^{\mathcal{X}} \uparrow \alpha - 1)]_{\mathcal{X}} \\ &= \end{aligned}$$

Relating S_P^x and T_P^x operators

Theorem 18 ([JL87popl])

For every ordinal α , $T_P^x \uparrow \alpha = [S_P^x \uparrow \alpha]_x$

Proof.

The base case $\alpha = 0$ is trivial. For a successor ordinal, we have

$$\begin{aligned}[S_P^x \uparrow \alpha]_x &= [S_P^x (S_P^x \uparrow \alpha - 1)]_x \\ &= T_P^x ([S_P^x \uparrow \alpha - 1]_x) \text{ by Prop. 14} \\ &= T_P^x (T_P^x \uparrow \alpha - 1) \text{ by induction} \\ &= T_P^x \uparrow \alpha\end{aligned}$$

For a limit ordinal, we have

$$\begin{aligned}[S_P^x \uparrow \alpha]_x &= [\bigcup_{\beta < \alpha} S_P^x \uparrow \beta]_x \\ &= \bigcup_{\beta < \alpha} [S_P^x \uparrow \beta]_x \\ &= \bigcup_{\beta < \alpha} T_P^x \uparrow \beta \text{ by induction} \\ &= T_P^x \uparrow \alpha\end{aligned}$$

□

Full abstraction w.r.t. computed answers

Theorem 19 (Theorem of full abstraction [GL91iclp])

$$O_{ca}(P) = S_P^x \uparrow \omega$$

Full abstraction w.r.t. computed answers

Theorem 19 (Theorem of full abstraction [GL91iclp])

$$O_{ca}(P) = S_P^{\mathcal{X}} \uparrow \omega$$

$S_P^{\mathcal{X}} \uparrow \omega \subset O_{ca}(P)$ is proved by induction on the powers n of $S_P^{\mathcal{X}}$.

Full abstraction w.r.t. computed answers

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$$O_{ca}(P) = S_P^{\mathcal{X}} \uparrow \omega$$

$S_P^{\mathcal{X}} \uparrow \omega \subset O_{ca}(P)$ is proved by induction on the powers n of $S_P^{\mathcal{X}}$. $n = 0$ is trivial. Let $c|A \in S_P^{\mathcal{X}} \uparrow n$, there exists a rule $(A \leftarrow d|A_1, \dots, A_n) \in P$, s.t. $\{c_1|A_1, \dots, c_n|A_n\} \subset S_P^{\mathcal{X}} \uparrow n - 1$, $c = d \wedge \bigwedge_{i=1}^n c_i$ and $\mathcal{X} \models \exists c$. By induction $\{c_1|A_1, \dots, c_n|A_n\} \subset O_{ca}(P)$. By definition of O_{ca} we get $c|A \in O_{ca}(P)$.

Full abstraction w.r.t. computed answers

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$O_{ca}(P) \subset S_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations.

Full abstraction w.r.t. computed answers

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$O_{ca}(P) \subset S_P^{\mathcal{X}} \uparrow \omega$ is proved by induction on the length of derivations. Successes with derivation of length 0 are facts in $S_P^{\mathcal{X}} \uparrow 1$. Let $c|A \in O_{ca}(P)$ with a derivation of length n . By definition of O_{ca} there exists $(A \leftarrow d|A_1, \dots, A_n) \in P$ s.t. $\{c_1|A_1, \dots, c_n|A_n\} \subset O_{ca}(P)$, $c = d \wedge \bigwedge_{i=1}^n c_i$ and $\mathcal{X} \models \exists c$. By induction $\{c_1|A_1, \dots, c_n|A_n\} \subset S_P^{\mathcal{X}} \uparrow \omega$. Hence by definition of $S_P^{\mathcal{X}}$ we get $c|A \in S_P^{\mathcal{X}} \uparrow \omega$.

Program analysis by abstract interpretation

$S_P^H \uparrow \omega$ captures the set of computed answer constraints nevertheless this set may be **infinite** and may contain **too much information** for proving some properties of the computed constraints

Abstract interpretation [CC77popl] is a method for proving properties of programs without handling irrelevant information

The idea is to replace the real computation domain by an abstract computation domain which retains sufficient information w.r.t. the property to prove

Groundness analysis by abstract interpretation

Consider the $\text{CLP}(\mathcal{H})$ append program

```
append(A, B, C) :- A=[], B=C.  
append(A, B, C) :- A=[X|L], C=[X|R], append(L, B, R).
```

What is the groundness relation between arguments after a success?

The term structure can be abstracted by a boolean structure which expresses the groundness of the arguments.

We thus associate a $\text{CLP}(\mathcal{B})$ **abstract program**:

Groundness analysis by abstract interpretation

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```

What is the groundness relation between arguments after a success?

The term structure can be abstracted by a boolean structure which expresses the groundness of the arguments.

We thus associate a CLP(\mathcal{B}) **abstract program**:

```
append(A,B,C) :- A=true, B=C.  
append(A,B,C) :- A=X/\L, C=X/\R, append(L,B,R).
```

Its least fixed point computed in at most 2^3 steps will express the groundness relation between arguments of the concrete program.

Groundness analysis (continued)

$$S_P^B \uparrow 0 = \emptyset$$

$$S_P^B \uparrow 1 =$$

Groundness analysis (continued)

$$S_P^B \uparrow 0 = \emptyset$$

$$S_P^B \uparrow 1 = \{A = \text{true}, B = C \mid \text{append}(A, B, C)\}$$

$$S_P^B \uparrow 2 = S_P^B \uparrow 1 \cup$$

Groundness analysis (continued)

$$S_P^B \uparrow 0 = \emptyset$$

$$S_P^B \uparrow 1 = \{A = \text{true}, B = C \mid \text{append}(A, B, C)\}$$

$$S_P^B \uparrow 2 = S_P^B \uparrow 1 \cup$$

$$\{A = X \wedge L, C = X \wedge R, L = \text{true}, B = R \mid \text{append}(A, B, C)\}$$

$$= S_P^B \uparrow 1 \cup \{C = A \wedge B \mid \text{append}(A, B, C)\}$$

$$S_P^B \uparrow 3 = S_P^B \uparrow 2 \cup$$

Groundness analysis (continued)

$$S_p^B \uparrow 0 = \emptyset$$

$$S_p^B \uparrow 1 = \{A = \text{true}, B = C \mid \text{append}(A, B, C)\}$$

$$\begin{aligned} S_p^B \uparrow 2 &= S_p^B \uparrow 1 \cup \\ &\quad \{A = X \wedge L, C = X \wedge R, L = \text{true}, B = R \mid \text{append}(A, B, C)\} \\ &= S_p^B \uparrow 1 \cup \{C = A \wedge B \mid \text{append}(A, B, C)\} \end{aligned}$$

$$\begin{aligned} S_p^B \uparrow 3 &= S_p^B \uparrow 2 \cup \\ &\quad \{A = X \wedge L, C = X \wedge R, R = L \wedge B \mid \text{append}(A, B, C)\} \\ &= S_p^B \uparrow 2 \cup \{C = A \wedge B \mid \text{append}(A, B, C)\} \\ &= S_p^B \uparrow 2 = S_p^B \uparrow \omega \end{aligned}$$

Groundness analysis (continued)

$$S_p^B \uparrow 0 = \emptyset$$

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$$\begin{aligned} S_p^B \uparrow 2 &= S_p^B \uparrow 1 \cup \\ &\quad \{A = X \wedge L, C = X \wedge R, L = \text{true}, B = R \mid \text{append}(A, B, C)\} \\ &= S_p^B \uparrow 1 \cup \{C = A \wedge B \mid \text{append}(A, B, C)\} \end{aligned}$$

$$\begin{aligned} S_p^B \uparrow 3 &= S_p^B \uparrow 2 \cup \\ &\quad \{A = X \wedge L, C = X \wedge R, R = L \wedge B \mid \text{append}(A, B, C)\} \\ &= S_p^B \uparrow 2 \cup \{C = A \wedge B \mid \text{append}(A, B, C)\} \\ &= S_p^B \uparrow 2 = S_p^B \uparrow \omega \end{aligned}$$

In a success of $\text{append}(A, B, C)$,
 C is ground iff A and B are ground.

Groundness analysis of reverse

Concrete CLP(\mathcal{H}) program:

```
rev(A,B) :- A=[], B=[].  
rev(A,B) :- A=[X|L], rev(L,K), append(K,[X],B).
```

Abstract CLP(\mathcal{B}) program:

Groundness analysis of reverse

Concrete CLP(\mathcal{H}) program:

```
rev(A,B) :- A=[], B=[].  
rev(A,B) :- A=[X|L], rev(L,K), append(K,[X],B).
```

Abstract CLP(\mathcal{B}) program:

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rev(A,B) :- A=true, B=true.  
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Groundness analysis of reverse

Concrete CLP(\mathcal{H}) program:

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Abstract CLP(\mathcal{B}) program:

```
rev(A,B) :- A=true, B=true.  
rev(A,B) :- A=X/\L, rev(L,K), append(K,X,B).
```

$$\begin{aligned} S_p^{\mathcal{B}} \uparrow 0 &= \emptyset \\ S_p^{\mathcal{B}} \uparrow 1 &= \{A = \text{true}, B = \text{true} \mid \text{rev}(A, B)\} \\ S_p^{\mathcal{B}} \uparrow 2 &= S_p^{\mathcal{B}} \uparrow 1 \cup \{A = X, B = X \mid \text{rev}(A, B)\} \\ &= S_p^{\mathcal{B}} \uparrow 1 \cup \{A = B \mid \text{rev}(A, B)\} \\ S_p^{\mathcal{B}} \uparrow 3 &= S_p^{\mathcal{B}} \uparrow 2 \cup \{A = X \wedge L, L = K, B = K \wedge X \mid \text{rev}(A, B)\} \\ &= S_p^{\mathcal{B}} \uparrow 2 \cup \{A = B \mid \text{rev}(A, B)\} = S_p^{\mathcal{B}} \uparrow 2 = S_p^{\mathcal{B}} \uparrow \omega \end{aligned}$$

Constraint-based Model Checking [DP99tacas]

Analysis of **unbounded states concurrent systems** by CLP programs.

Concurrent transition systems defined by condition-action rules [Shankar93acm]:

$$\text{condition } \phi(\vec{x}) \quad \text{action } \vec{x}' = \psi(\vec{x})$$

Translation into CLP clauses over one predicate p (for states)

$$p(\vec{x}) \leftarrow \phi(\vec{x}), \psi(\vec{x}', \vec{x}), p(\vec{x}').$$

The transitions of the concurrent system are in one-to-one correspondance to the CSLD derivations of the CLP program.

Proposition 20

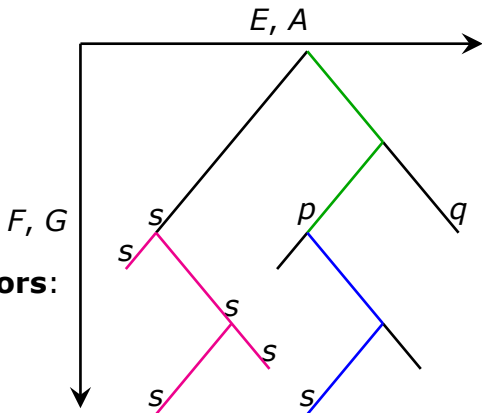
The set of states from which a set of states defined by a constraint c is reachable is the set $\text{lfp}(T_P)$

where P is the CLP program plus the clause $p(\vec{x}) \leftarrow c(\vec{x})$.

Computation Tree Logic CTL

Temporal logic for branching time:

- States described by propositional or first-order formulas
- Two **path quantifiers** for non-determinism:
 - ▶ A "for all paths"
 - ▶ E "for some path"
- Several **temporal operators**:
 - ▶ X "next time",
 - ▶ F "eventually",
 - ▶ G "always",
 - ▶ U "until".



Model Checking

Two types of interesting properties:

$AG\neg\phi$ "Safety" property.

$AF\psi$ "Liveness" property.

Duality: for any formula ϕ we have

$EF\phi = \neg AG\neg\phi$ and

$EG\phi = \neg AF\neg\phi$.

Model checking is an algorithm for computing, in a given Kripke structure $K = (S, I, R)$, $I \subset S, R \subset S \times S$ (S is the set of states, I the initial states and R the transition relation), the set of states which satisfy a given CTL formula ϕ , i.e., the set $\{s \in S \mid K, s \models \phi\}$.

(Symbolic) Model Checking

Basic algorithm

When S is finite, represent K as a graph, and iteratively label the nodes with the subformulas of ϕ which are true in that node.

Add A to the states satisfying A ($\neg A, A \wedge B, \dots$)

Add $EF\phi$ ($EX\phi$) to the (immediate) predecessors of states labeled by ϕ

Add $E(\phi U \psi)$ to the predecessor states of ψ while they satisfy ϕ

Add $EG\phi$ to the states for which there exists a path leading to a non trivial strongly connected components of the subgraph restricted to the states satisfying ϕ

Symbolic model checking

Use OBDD's to represent states and transitions as boolean formulas (S is finite).

Constraint-based Model Checking

Constraint-based model checking [DP99tacas] applies to Kripke structures with an **infinite set of states**.

Numerical constraints provide a finite representation for an infinite set of states.

Constraint logic programming theory:

$$EF(\phi) = \text{lfp}(T_{R \cup \{p(\vec{x}) \leftarrow \phi\}})$$

$$EG(\phi) = \text{gfp}(T_{R \wedge \phi})$$

Prototype implementation *DMC* in Sicstus Prolog + Simplex, $\text{CLP}(\mathcal{H}, \mathcal{FD}, \mathcal{R}, \mathcal{B})$